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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

Unknown

HANS LAUTENSCHLAGER ET AL.

Examiner:

Unknown

Serial No.:

10/506,656 (Informal)

Filed:

September 3, 2004

For:

BRANCHED ORGANOSILICON-COMPOUNDS

ANTIMISTING ADDITIVES FOR SILICONE COATING

COMPOSITIONS

Attorney Docket No.: WAS 0653 PUSA

INFORMATION DISCLOSURE STATEMENT **UNDER 37 C.F.R. § 1.97(b)**

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

11/01/2004

William G. Conger Date of Deposit Name of Person Signing

Signature

S/N: 10/506,656 (Informal)

Atty Dkt No. WAS 0653 PUSA

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

Accompanying the Information Disclosure Statement is the Statement of Dr. Deffner-Lehner describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

HANS LAUTENSCHLAGER ET AL.

William G. Conger

Reg. No. 31,209 Attorney for Applicant

Date: November 1, 200+

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

LAUTENSCHLAGER ET AL.

Serial Number

Group Art Unit:

Filed:

For: BRANCHED ORGANOSILICON COMPOUNDS AS ANTIMISTING ADDITIVES FOR SILICONE COATING COMPOSITIONS

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir or Madam:

I, Maria Deffner-Lehner, associated with the preparation and prosecution of the above-identified application, residing at Meisenweg 12, 85591 Vaterstetten, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed application; International Search Report and in the Office Action of the German Patent and Trademark Office and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

For DE 196 27 022 A1, cited in the German Office Action, an English Derwent Abstract is enclosed.

WO 01/98420 A2, cited in the application, in the German Office Action as well as in the International Search Report, is enclosed.

EP 716 115 A, cited in the application as well as in the International Search Report, is enclosed.

US 6,034,225, cited in the application, is enclosed.

US 6,258,913, cited in the application, is enclosed.

US 6,274,692, cited in the application, is enclosed.

US 5,241,034, cited in the application, is enclosed.

For DE 195 22 144 A, cited in the application, an English Derwent is enclosed.

For DE 196 29 053 A, cited in the application, an English Derwent is enclosed.

US 6,265,497 A, cited in the application, is enclosed.

US 5,691,435 A, cited in the application, is enclosed.

US 3,775,452, cited in the application, is enclosed.

US 5,994,454 A, cited in the International Search Report, is enclosed.

WO 01/98418 A, cited in the International Search Report, is enclosed.

EP 0 694 573 A, cited in the International Search Report, is enclosed.

US 5,079,300 A, cited in the International Search Report, is enclosed.

US 5,548,038 A, cited in the International Search Report, is enclosed.

US 5,760,145 A, cited in the application and in International Search Report, is enclosed.

Signed this 25 day of October, 2004.

Dr Møffner-I ehner

Approved for use through 10/31/99. OMB 0651-0031

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Complete if Known Substitute for Form 1449A/PTO 10/506,656 (InformalO **Application Number** INFORMATION DISCLOSURE Filing Date September 3, 2004 STATEMENT BY APPLICANT First Named Inventor Hans Lautenschlager et al. MOV 0 3 2004 Group Art Unit Unknown (use as many sheets as necessary) **Examiner Name** Unknwon SKEHADE! 2 WAS 0653 PUSA Attorney Docket Number

U.S. PATENT DOCUMENTS						
Examiner Cite Number (if known)		Name of Patentee or Applicant of Cited Document MM-DD-YYYY		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		3,775,452		Karstedt	11-27-1973	
		5,079,300		Dubrow et al.	01-07-1992	
		5,241,034		Herzig et al.	08-31-1993	
		5,548,038		Enami et al.	08-20-1996	
		5,691,435		Herzig et al.	11-25-1997	
		5,760,145		Herzig et al.	06-02-1998	
		5,994,454		Chung et al.	11-30-1999	
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	6,274,692		Herzig et al.	08-14-2001		

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.1	Foreign Patent Document Kind Code ⁵ Office ³ Number ⁴ (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		DE	195 22 144 A1		Herzig et al.	01-02-1997		
		DE	196 27 022 A1		Herzig et al.	01-08-1998		
		DE	196 29 053 A1		Herzig et al.	01-22-1998		
		EP	0 694 573 A2		Dow Corning	01-31-1996		
		EP	0 716 115 A2		Dow Corning Corp.	06-12-1996		
		WO	01/98418 A2		Dow Corning Corp.	12-27-2001		
		WO	01/98420 A2		Dow Corning Corp.	12-27-2001		

Examiner	D	Date
Signature	C	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-96) [reproduced]
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Substitute for	ubetitute for Form 1860WPTO			Complete if Known		
Substitute for Form 1 THE PROJECT OF				Application Number	10/506,656 (Informal)	
				Filing Date	September 3, 2004	
STATEMENT BY APPLICANT			LICANI	First Named Inventor	Hans Lautenschlager et al.	
				Group Art Unit	Unknown	
	(use as many	sheets as i	necessary)	Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	WAS 0653 PUSA	
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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Examiner Τ² Initials ' Cite No.1 publisher, city and/or country where published. English Derwent Abstract AN 1997-053209 [06] Corresp. to DE 195 22 144 A1. English Derwent Abstract AN 1998-064258 [07] Corresp. to DE 196 27 022 A1. English Derwent Abstract AN 1998-087924 [09] Corresp. to DE 196 29 053 A1

Examiner	Date	
Signature	Considered	

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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.